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PATENT
Dkt. No. 17243-00009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Serial No. 09/681,298

Filed: March 14, 2001

For: METHODS AND SYSTEMS FOR RAPID
DEPLOYMENT OF A VALUATION SYSTEM

Group Art Unit: 2166

Examiner:

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John J. Benlick, Reg. No. 33,338

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1. ☒ Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
2. ☐ Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
3. ☐ Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
4. ☐ Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)
[before Issue Fee payment]

Hon. Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

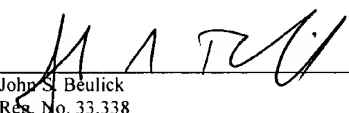
5. ☒ A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6. ☒ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
7. ☐ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
8. ☐ The required certification made in item 11 below; or

9. — The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10. — 37 CFR 1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch _____, Date of Allowance _____"), and
- b) The required Certification is stated in item 11 below.
11. — Certification
12. — Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
13. — No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14. ✓ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/681,298		
		Filing Date	March 14, 2001		
		First Named Inventor	Johnson et al.		
		Group Art Unit	2166		
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	17243-00009

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OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	GATH, I. and GEVA, A.B., "Unsupervised Optimal Fuzzy Clustering," IEEE Trans. Pattern Anal. Machine Intell., vol. PAMI-11, no. 7, pp. 773-781, July 1989	
	AB	BEZDEK, JAMES C.; HATHAWAY, RICHARD J.; SABIN, MICHAEL J.; and TUCKER, WILLIAM T., "Convergence Theory For Fuzzy c-Means: Counterexamples and Repairs," IEEE Trans. Syst., Man, Cybern., vol. SMC-17, no. 5, pp. 873-877, September/October 1987	
	AC	DUNN, J.C., "A Fuzzy Relative of the ISODATA Process and Its Use in Detecting Compact Well-Separated Clusters," J. Cybernetics, vol. 3, no. 3, pp. 32-57, 1973	
	AD	BREIMAN, L.; FRIEDMAN, J.H.; OLSEN, R.A.; STONE, C.J., <i>Classification and Regression Trees</i> , (1984), Chapman & Hall, New York, Chapters 1, 2, 3, and 8	
	AE	BREALEY, RICHARD A. and MYERS, STEWART, C., <i>Principles Of Corporate Finance</i> , (1988), McGraw-Hill, Inc., New York, Chapter 2	
	AF	EFRON, BRADLEY and TIBSHIRANI, ROBERT J., "An Introduction to the Bootstrap," Chapman & Hall, New York, pp. 45-85	

Examiner Signature	Date Considered:
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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